

Original citation:

Kraehmer, Ulrich and Madden, Dylan. (2017) Cyclic vs mixed homology. Homology, Homotopy and Application.

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CYCLIC VS MIXED HOMOLOGY

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(communicated by Bill Murray)

Abstract

The spectral theory of the Karoubi operator due to Cuntz and Quillen is extended to general mixed (duchain) complexes, that is, chain complexes which are also cochain complexes. Connes' coboundary map B can be viewed as a perturbation of the noncommutative De Rham differential by a polynomial in the Karoubi operator. The homological impact of such perturbations is expressed in terms of two short exact sequences.

1. Introduction and overview

1.1. Mixed complexes

Inspired by Connes' work on cyclic homology [2, 3], Dwyer and Kan [7, 8] initiated the study of general chain complexes which simultaneously are cochain complexes:

Definition 1.1. A *mixed complex* of R -modules is a triple (Ω, b, d) where (Ω, b) and (Ω, d) are a chain respectively a cochain complex:

$$\dots \xrightleftharpoons[d]{b} \Omega_2 \xrightleftharpoons[d]{b} \Omega_1 \xrightleftharpoons[d]{b} \Omega_0 \xrightleftharpoons[0]{0} 0, \quad d^2 = b^2 = 0.$$

The *mixed homology* $H(\Omega, b, d)$ is the homology of $(T(\Omega), b + d)$, where

$$T_n(\Omega) := \bigoplus_{i \geq 0} \hat{\Omega}_{n-2i}, \quad \hat{\Omega}_i := \Omega_i / \text{im } \xi, \quad \xi := bd + db.$$

Dwyer and Kan used the term *duchain* rather than *mixed* complex, but the latter (introduced by Kassel [12]) is now the standard terminology, although it is mostly associated with the special case $\xi = 0$.

The motivating examples are the noncommutative differential forms over an associative algebra with the De Rham differential d and the Hochschild boundary map

U.K. thanks Gabriella Böhm, Niels Kowalzig and Tomasz Maszczyk for discussions, and IMPAN Warsaw and the Wigner Institute Budapest for hospitality. Both authors thank the referee for a very careful reading of the manuscript that lead to numerous improvements.

Received Month Day, Year, revised Month Day, Year; published on Month Day, Year.

2010 Mathematics Subject Classification: 19D55, 18G60, 18G30, 18G35

Key words and phrases: mixed complexes, cyclic homology, mixed homology, non-commutative differential forms

Article available at <http://dx.doi.org/10.4310/HHA.2014.v16.n2.a1>

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b , see Section 1.4 and Example 2.8 below, or [15, Section 2.6] for a detailed account. However, mixed complexes appear in a wide range of contexts, e.g. Poisson manifolds [1, 13], Lie-Rinehart algebras (Lie algebroids) [11], and Hopf algebras [4, 5, 10].

1.2. The spectral decomposition

Our aim here is to revisit the construction of cyclic homology from the perspective of general mixed complexes. To this end, we view Ω as a $k[x]$ -module, where k is the centre of R and x acts by ξ . Thus Ω defines a sheaf of mixed complexes over the affine line k ; this generalises the spectral decomposition of Ω considered by Cuntz and Quillen [6].

The localisation $S^{-1}\Omega := k[x, x^{-1}] \otimes_{k[x]} \Omega$ is contractible as a chain and cochain complex, for if ξ is invertible, then we have

$$b(\xi^{-1}d) + (\xi^{-1}d)b = \text{id}, \quad d(\xi^{-1}b) + (\xi^{-1}b)d = \text{id}.$$

Thus, the only stalk of Ω supporting (co)homology is $\hat{\Omega} = \Omega/\text{im } \xi$ at $x = 0$. A particularly well-behaved class of mixed complexes is therefore formed by those which are globally contractible to $\hat{\Omega}$:

Definition 1.2. We call (Ω, b, d) a *(co)homological skyscraper* if

$$\Omega \rightarrow \hat{\Omega} = \Omega/\text{im } \xi$$

is a quasiisomorphism of (co)chain complexes.

This holds for example when $\Omega = \ker \xi \oplus \text{im } \xi$ so that $\text{im } \xi \cong S^{-1}\Omega$, and in particular when k is a field and ξ is diagonalisable over k .

Example 1.3. For an example of a non-skyscraper, define

$$\Omega_n := \begin{cases} R \oplus R & n = 0, 1, \\ 0 & n > 1, \end{cases} \quad \begin{aligned} d: \Omega_0 &\rightarrow \Omega_1, & (r, s) &\mapsto (r, s), \\ b: \Omega_1 &\rightarrow \Omega_0, & (u, v) &\mapsto (0, u). \end{aligned}$$

The homology of Ω is R in both degrees and so is that of $\hat{\Omega}$, but while the map induced on homology by the quotient $\Omega \rightarrow \hat{\Omega}$ is the identity in degree 0 it vanishes in degree 1, so Ω is not a homological skyscraper.

We will provide further toy examples that illustrate the definitions and results throughout the text. As a first example of real interest, we mention:

Example 1.4. Consider the De Rham complex (Ω, d) of a compact oriented Riemannian manifold, and let b be the adjoint of d with respect to the Riemannian volume form. Then ξ is the Laplace operator and the spectral decomposition of this elliptic (essentially) self-adjoint operator yields $\Omega = \ker \xi \oplus \text{im } \xi$, so Ω is a skyscraper and is contractible to $\ker \xi$, the space of harmonic forms. The results of this paper can therefore also be viewed as an abstraction of the Hodge theorem.

1.3. Statement of the main results

The noncommutative differential forms over an algebra are not a skyscraper with respect to the De Rham differential d , see Example 2.18, but they are with respect

to the coboundary map B that defines cyclic homology (cf. Section 1.4 below). Our goal is to compare cyclic and mixed homology, and we will do so for more general deformations of d by polynomials in ξ :

Definition 1.5. Given any mixed complex (Ω, b, d) and a sequence of polynomials $c_n \in k[x]$, we define

$$B_n := c_n d_n, \quad v_n := b_{n+1} B_n + B_{n-1} b_n.$$

Our main result is the following:

Theorem 1.6. *If all $c_n \in k[x]$ are invertible in $k[[x]]$ and (Ω, b, B) is a homological skyscraper, then for all $n \geq 0$, there are canonical short exact sequences*

$$0 \rightarrow \ker \pi_n \rightarrow H_n(\Omega, b, d) \rightarrow H_n(\hat{\Omega}, \hat{b}, \hat{B}) / \ker \pi_n \rightarrow 0, \quad (1)$$

$$0 \rightarrow H_n(\Omega, b, B) \rightarrow H_n(\hat{\Omega}, \hat{b}, \hat{B}) \rightarrow H_{n-1}(\operatorname{im} \xi, b, B) \rightarrow 0, \quad (2)$$

where π_n is the canonical map $H_n(\hat{\Omega}, \hat{b}, \hat{B}) \rightarrow H_n(\hat{\Omega} / \operatorname{im} \hat{b}, 0, \hat{B})$.

The maps in (2) are induced by the embedding $\operatorname{im} \xi \rightarrow \Omega$ and the quotient $\Omega \rightarrow \hat{\Omega}$; those in (1) will be described in Section 2.6.

Thus if the two short exact sequences split, then choosing a split for both yields an isomorphism

$$H_n(\Omega, b, d) \cong H_n(\Omega, b, B) \oplus H_{n-1}(\operatorname{im} \xi, b, B).$$

Examples 2.18, 2.19, respectively 2.20 at the end of the paper illustrate the non-triviality of Theorem 1.6 by exhibiting mixed complexes for which $H(\operatorname{im} \xi, b, B) \neq 0$ respectively $\ker \pi \neq 0$.

A key step in the proof is the following computation that relates the two spectral parameters ξ and v ; as we will explain below, this extends a result of Cuntz and Quillen.

Proposition 1.7. *We have*

$$v_n = \xi_n c_n - d_{n-1} b_n f_n = b_{n+1} d_n f_n + \xi_n c_{n-1}, \quad (3)$$

where $f_n := c_n - c_{n-1}$, and

$$(v_n - \xi_n c_n)(v_n - \xi_n c_{n-1}) = 0. \quad (4)$$

1.4. Cyclic homology

The most important choice for the polynomials c_n leads to the definition of cyclic homology:

Definition 1.8. If

$$c_n = \sum_{i=0}^n (1-x)^i = \frac{1 - (1-x)^{n+1}}{x} = \sum_{i=0}^n (-1)^i \binom{n+1}{i+1} x^i,$$

then $B_n = d_n \sum_{i=0}^n (\operatorname{id} - \xi_n)^i$ is called the *Connes coboundary map* and $H(\Omega, b, B)$ the *cyclic homology* of Ω ; furthermore, Ω is said to be a *cyclic complex* if $v = bB + Bb = 0$.

Theorem 1.6 relates, in particular, the mixed homology of a cyclic complex to its cyclic homology, as long as the constant coefficients $n+1$ of c_n are invertible in the ground ring k . If $v = 0$, we have that $H_n(\text{im } \xi, b, B) = \bigoplus_{i \geq 0} \ker \xi_{n-2i} \cap \text{im } \xi_{n-2i}$:

Corollary 1.9. *If (Ω, b, d) is a cyclic complex of \mathbb{Q} -vector spaces, then there are (noncanonical) isomorphisms of vector spaces*

$$H_n(\Omega, b, d) \cong H_n(\Omega, b, B) \oplus \bigoplus_{i \geq 0} \ker \xi_{n-1-2i} \cap \text{im } \xi_{n-1-2i}.$$

This applies in particular to the noncommutative differential forms over a unital associative algebra A . Here (Ω, b) is the normalised Hochschild chain complex of A , that is,

$$\Omega_n := A \otimes_k (A/k)^{\otimes_k n} \quad (5)$$

where k is embedded into A as scalar multiples of the unit element, and b_n is induced by the map

$$\begin{aligned} a_0 \otimes_k a_1 \otimes_k \cdots \otimes_k a_n &\mapsto a_0 a_1 \otimes_k a_2 \otimes_k \cdots \otimes_k a_n \\ &\quad - a_0 \otimes_k a_1 a_2 \otimes_k \cdots \otimes_k a_n + \cdots \\ &\quad + (-1)^{n-1} a_0 \otimes_k a_1 \otimes_k \cdots \otimes_k a_{n-1} a_n \\ &\quad + (-1)^n a_n a_0 \otimes_k a_1 \otimes_k \cdots \otimes_k a_{n-1}. \end{aligned}$$

The coboundary map is the noncommutative De Rham differential d_n which is induced by

$$d_n(a_0 \otimes_k \cdots \otimes_k a_n) := 1 \otimes_k a_0 \otimes_k \cdots \otimes_k a_n. \quad (6)$$

This is a cyclic complex, and $H(\Omega, b, B)$ is the *cyclic homology* $HC(A)$ of the algebra A [3, 15].

Considering again a general mixed complex (Ω, b, d) , the formulas from Proposition 1.7 reduce with c_n as in Definition 1.8 to

$$T_n = (\text{id} - b_{n+1} d_n) \kappa_n^n, \quad \kappa_n^{n+1} = T_n (\text{id} - d_{n-1} b_n), \quad (7)$$

$$(T_n - \kappa_n^{n+1})(T_n - \kappa_n^n) = 0, \quad (8)$$

where

$$\kappa_n := \text{id} - \xi_n, \quad T_n := \text{id} - v_n \quad (9)$$

are the *Karoubi operators* of the two mixed complexes (Ω, b, d) and (Ω, b, B) respectively. This generalises [6, Proposition 3.1] to arbitrary mixed complexes and in particular to all cyclic ones, where $T = \text{id}$ (Cuntz and Quillen only considered the example of noncommutative differential forms).

Our original motivation for the present work was to extend the results of Cuntz and Quillen and related work to the so-called twisted cyclic homology introduced by Kustermans, Murphy and Tuset [14], see Example 2.8 for details. This and the Hopf-cyclic homology discovered by Connes-Moscovici [4] and Crainic [5] can be viewed as a special case of Hopf-cyclic homology with coefficients in anti Yetter-Drinfeld modules, as introduced by Hajac, Khalkhali, Rangipour and Sommerhäuser [10].

Early versions and special instances of the main results of our paper were key tools in the computation of the twisted cyclic homology of quantum $SL(2)$ due to Hadfield and the first author [9]. Another source of motivation was the work of Shapiro [16] who investigated the approach via noncommutative differential forms. Although the results as formulated here are fairly technical, we felt it worthwhile to present them in full generality from the viewpoint of mixed complexes and hope they will find new applications in other settings in the future.

2. Proofs and further material

2.1. Quasiisomorphisms

Before beginning the proofs of the main results, we remark that what one should call a quasiisomorphism (or weak equivalence) of mixed complexes is a subtle question that depends on one's aims (see e.g. [15, Section 2.5.14] and [8] for two different choices). We will, however, only encounter the simple case covered by the following proposition, which is a straightforward generalisation of [15, Corollary 2.2.3]:

Proposition 2.1. *A morphism $\varphi : (\Omega, b, d) \rightarrow (\Omega', b', d')$ of mixed complexes, with $bd + db = b'd' + d'b' = 0$, induces an isomorphism on homology if and only if it induces an isomorphism on mixed homology.*

Example 2.2. Observe that the analogue of the proposition for cohomological quasiisomorphisms fails: consider for example the two mixed complexes

$$\Omega_n := \begin{cases} \mathbb{C} & n = 0, \\ 0 & n > 0, \end{cases} \quad \Omega'_m := \mathbb{C}, \quad m \in \mathbb{N}$$

with $b_n = d_n = b'_n = 0$ and

$$d'_n := \begin{cases} 0 & n = 2k, \\ \text{id} & n = 2k + 1, \end{cases} \quad k \in \mathbb{N}.$$

We have

$$H^n(\Omega, 0) = \Omega_n \cong H^n(\Omega', d'),$$

so the map

$$\varphi_n := \begin{cases} \text{id} & n = 0, \\ 0 & n > 0 \end{cases}$$

is a quasiisomorphism of cochain complexes:

$$\begin{array}{ccccccc} \cdots & \xrightarrow{0} & 0 & \xleftarrow{0} & 0 & \xleftarrow{0} & 0 & \xleftarrow{0} & 0 & \xleftarrow{0} & \mathbb{C} & \xleftarrow{0} & 0 \\ & & \downarrow 0 & & \downarrow 0 & & \downarrow 0 & & \downarrow 0 & & \downarrow \text{id} & & \\ \cdots & \xrightarrow{0} & \mathbb{C} & \xleftarrow{\text{id}} & \mathbb{C} & \xleftarrow{0} & \mathbb{C} & \xleftarrow{\text{id}} & \mathbb{C} & \xleftarrow{0} & \mathbb{C} & \xleftarrow{0} & 0 \end{array}$$

However, one obtains by direct inspection

$$H_n(\Omega', 0, b') \cong \mathbb{C}, \quad H_n(\Omega, 0, 0) \cong \begin{cases} \mathbb{C} & n = 2k, \\ 0 & n = 2k + 1, \end{cases} \quad k \in \mathbb{N}.$$

Remark 2.3. The moral is that, although the rôles of d and b are entirely symmetric in Ω , this symmetry is broken in the definition of mixed homology, as the action of d is somewhat artificially cut off on $\hat{\Omega}_n \subset T_n(\Omega)$. This changes when one considers the \mathbb{Z}_2 -graded periodic homology theories; however, then there are two variants:

$$T_s^{\text{per}, \Pi}(\Omega) := \prod_{j \in \mathbb{N}} \hat{\Omega}_{s+2j}, \quad T_s^{\text{per}, \oplus}(\Omega) := \bigoplus_{j \in \mathbb{N}} \hat{\Omega}_{s+2j}, \quad s \in \mathbb{Z}_2.$$

Proposition 2.1 holds in the same way for $H^{\text{per}, \Pi}$, but it is cohomological quasiisomorphisms rather than homological ones which induce isomorphisms in $H^{\text{per}, \oplus}$.

2.2. The proof of Proposition 1.7

We now develop the theory that will lead to a proof of Theorem 1.6. The steps are illustrated using the example of cyclic homology, and the first one is the proof of Proposition 1.7 in which we relate the maps ξ and v :

Proof of Proposition 1.7. The first equation is obtained by straightforward computation:

$$\begin{aligned} v_n &= b_{n+1}B_n + B_{n-1}b_n = b_{n+1}c_nd_n + c_{n-1}d_{n-1}b_n \\ &= b_{n+1}d_nc_n + d_{n-1}b_nc_{n-1} = (\xi_n - d_{n-1}b_n)c_n + d_{n-1}b_nc_{n-1} \\ &= b_{n+1}d_nc_n + (\xi_n - b_{n+1}d_n)c_{n-1}. \end{aligned}$$

Thus the first factor in (4) equals $-d_{n-1}b_nf_{n+1}$ and the second one $b_{n+1}d_nf_n$, so their product equals 0 as $b_nb_{n+1} = 0$. \square

Remark 2.4. If one perturbs not just d_n to $B_n = c_nd_n$ but also b_n to $D_n := a_nb_n$ for some polynomials $a_n \in k[x]$, then one has

$$B_{n-1}D_n + D_{n+1}B_n = \xi_n a_{n+1}c_n - d_{n-1}b_nf_n = b_{n+1}d_nf_n + \xi_n a_nc_{n-1}$$

with $f_n = a_{n+1}c_n - a_nc_{n-1}$. That is, one obtains v but for d perturbed by the polynomials $a_{n+1}c_n$ and in this sense it is sufficient to focus on deformations of d alone.

Example 2.5. In the case of cyclic homology (cf. Section 1.4), we obtain

$$c_n = \frac{1 - y^{n+1}}{1 - y}, \quad f_n = y^n, \quad y := 1 - x.$$

Inserting this into the formulas in Proposition 1.7 yields the formulas (7)-(9) from Section 1.4.

2.3. The quasiisomorphism $\Omega \rightarrow \bar{\Omega}$

As part of the assumptions of Theorem 1.6, (Ω, b, B) is a homological skyscraper, so $(\text{im } v, b)$ has trivial homology. We now use this fact to relate the mixed homology of Ω to that of the quotients

$$\bar{\Omega} := \Omega / \text{im } v, \quad \tilde{\Omega} := \Omega / (\text{im } \xi + \text{im } v).$$

In the sequel, $\bar{d}, \bar{b}, \bar{\xi}$ and $\tilde{b}, \tilde{d}, \tilde{\xi}$ refer to the structure maps on $\bar{\Omega}$ respectively $\tilde{\Omega}$.

Lemma 2.6. *($\text{im } \xi \cap \text{im } v, b$) has trivial homology.*

Proof. If $x \in (\text{im } v \cap \text{im } \xi)_n$ and $b_n x = 0$, then as $(\text{im } v, b)$ has no homology, there is $y \in \Omega_{n+1}$ with $x = b_{n+1} v_{n+1} y$. By Proposition 1.7, this equals $b_{n+1} \xi_{n+1} c_n y$, so $x \in b(\text{im } v \cap \text{im } \xi)_{n+1}$. \square

Lemma 2.7. *The canonical quotient $\hat{\Omega} \rightarrow \tilde{\Omega}$ is a quasiisomorphism of chain complexes. In particular, the quotient homomorphism $(\Omega, b, d) \rightarrow (\tilde{\Omega}, \bar{b}, \bar{d})$ induces isomorphisms $H(\Omega, b, d) = H(\hat{\Omega}, \hat{b}, \hat{d}) \cong H(\tilde{\Omega}, \bar{b}, \bar{d}) = H(\tilde{\Omega}, \tilde{b}, \tilde{d})$.*

Proof. We need to show that the kernel

$$\text{im } v / (\text{im } \xi \cap \text{im } v)$$

has trivial homology. However, this follows from the fact that $\text{im } v$ and $\text{im } \xi \cap \text{im } v$ have trivial homology ($\text{im } v$ has trivial homology by the assumption that (Ω, b, B) is a homological skyscraper, and $\text{im } \xi \cap \text{im } v$ has trivial homology by Lemma 2.6). The second claim now follows from Proposition 2.1. \square

Example 2.8. If Ω is a cyclic complex and $H(\Omega, b, B)$ is its cyclic homology as in Definition 1.8, then $v = 0$ and $\Omega = \bar{\Omega}$, so the above lemma becomes trivial. However, let A be an associative algebra and ${}_{\sigma}A$ be the A -bimodule which is A as a right A -module but whose left action is given by $x \triangleright y := \sigma(x)y$ for some algebra endomorphism σ . Now consider the noncommutative differential forms over A as defined in (5), but with the boundary map b that computes the Hochschild homology of A with coefficients in ${}_{\sigma}A$. Explicitly, b is given by

$$\begin{aligned} a_0 \otimes_k a_1 \otimes_k \cdots \otimes_k a_n &\mapsto a_0 a_1 \otimes_k a_2 \otimes_k \cdots \otimes_k a_n \\ &\quad - a_0 \otimes_k a_1 a_2 \otimes_k \cdots \otimes_k a_n + \cdots \\ &\quad + (-1)^{n-1} a_0 \otimes_k a_1 \otimes_k \cdots \otimes_k a_{n-1} a_n \\ &\quad + (-1)^n \sigma(a_n) a_0 \otimes_k a_1 \otimes_k \cdots \otimes_k a_{n-1}. \end{aligned}$$

With d_n from (6) and c_n as in Definition 1.8, the operator B is induced by

$$B_n(a_0 \otimes_k \cdots \otimes_k a_n) = \sum_{i=0}^n 1 \otimes_k t^i(a_0 \otimes_k \cdots \otimes_k a_n),$$

where

$$t(a_0 \otimes_k \cdots \otimes_k a_n) := (-1)^n \sigma(a_n) \otimes_k a_0 \otimes_k \cdots \otimes_k a_{n-1}.$$

In this case, $H(\Omega, b, B)$ is the *twisted cyclic homology* $HC^{\sigma}(A)$ of A that was first considered by Kustermans, Murphy and Tuset [14]. The operators ξ and v are given in this case by

$$\begin{aligned} \xi(a_0 \otimes_k \cdots \otimes_k a_n) &= a_0 \otimes_k \cdots \otimes_k a_n - t(a_0 \otimes_k \cdots \otimes_k a_n) \\ &\quad + (-1)^n 1 \otimes_k \sigma(a_n) a_0 \otimes_k \cdots \otimes_k a_{n-1} \\ v(a_0 \otimes_k \cdots \otimes_k a_n) &= a_0 \otimes_k \cdots \otimes_k a_n - \sigma(a_0) \otimes_k \cdots \otimes_k \sigma(a_n). \end{aligned}$$

In particular, Ω is cyclic if and only if $\sigma = \text{id}$.

To generalise the theory of Cuntz and Quillen (which deals only with the case where $\sigma = \text{id}$) to this setting was one of our original aims, motivated in particular by Shapiro's extension [16] of Karoubi's noncommutative De Rham theory.

2.4. The quasiisomorphism $\ker \bar{\xi}^2 \rightarrow \bar{\Omega}$

From now on, we will study the mixed complex $\bar{\Omega}$ in further detail. This is where the second main assumption of Theorem 1.6 becomes relevant, namely that the constant coefficients of the polynomials c_n are all invertible. We tacitly assume this for the rest of the paper.

Lemma 2.9. *We have*

$$\bar{\Omega} = \ker \bar{\xi}^2 \oplus \text{im } \bar{\xi}^2. \quad (10)$$

Proof. Assuming that all c_n are invertible in $k[[x]]$ means their constant coefficients are invertible in k . Hence also $c_{n-1}c_n$ has an invertible constant coefficient $\varepsilon_n \in k$. Let $\delta_n, \gamma_n \in k$ be its linear and quadratic coefficient,

$$c_{n-1}c_n = \varepsilon_n + \delta_n x + \gamma_n x^2 + \dots$$

and define

$$\bar{p}_n := \varepsilon_n^{-2}(\varepsilon_n - \delta_n \bar{\xi})\bar{c}_{n-1}\bar{c}_n = 1 + \left(\frac{\gamma_n}{\varepsilon_n} - \frac{\delta_n^2}{\varepsilon_n^2}\right)\bar{\xi}^2 + \dots,$$

where $\bar{c}_n : \bar{\Omega}_n \rightarrow \bar{\Omega}_n$ is the map obtained by inserting $\bar{\xi}$ into c_n .

Since v induces the trivial map on $\bar{\Omega} = \Omega/\text{im } v$, Proposition 1.7 implies

$$\bar{\xi}^2 \bar{c}_{n-1} \bar{c}_n = \bar{c}_{n-1} \bar{c}_n \bar{\xi}^2 = 0, \quad (11)$$

so we get

$$\text{im } \bar{p}_n \subset \text{im } \bar{c}_{n-1} \bar{c}_n \subset \ker \bar{\xi}^2, \quad \text{im } \bar{\xi}^2 \subset \ker \bar{c}_{n-1} \bar{c}_n \subset \ker \bar{p}_n.$$

Conversely, \bar{p}_n acts, by definition, as the identity on $\ker \bar{\xi}^2$, so we also have that $\ker \bar{\xi}^2 \subset \text{im } \bar{p}_n$, and on $\ker \bar{p}_n$ we have $1 = \bar{\xi}^2 \left(\frac{\delta_n^2}{\varepsilon_n^2} - \frac{\gamma_n}{\varepsilon_n}\right) + \dots$, so $\ker \bar{p}_n \subset \text{im } \bar{\xi}^2$. It follows that $\ker \bar{\xi}^2 = \text{im } \bar{p}_n$ and $\text{im } \bar{\xi}^2 = \ker \bar{p}_n$, and also that $\bar{p}_n^2 = \bar{p}_n$, so that we have $\Omega/\text{im } v = \text{im } \bar{p}_n \oplus \ker \bar{p}_n$. \square

Lemma 2.10. *The inclusion $\ker \bar{\xi}^2 \rightarrow \bar{\Omega}$ induces isomorphisms*

$$H(\bar{\Omega}, \bar{b}, \bar{d}) \cong H(\ker \bar{\xi}^2, \bar{b}, \bar{d}), \quad H(\bar{\Omega}, \bar{b}, \bar{B}) \cong H(\ker \bar{\xi}^2, \bar{b}, \bar{B}).$$

Proof. As $\bar{\xi}$ is a morphism of mixed complexes, (10) is a decomposition of mixed complexes. Since we have

$$\ker \bar{\xi} \subset \ker \bar{\xi}^2, \quad \text{im } \bar{\xi}^2 \subset \text{im } \bar{\xi},$$

we conclude, using Lemma 2.9 in the last step, that

$$\tilde{\Omega} = \Omega/(\text{im } v + \text{im } \bar{\xi}) \cong \bar{\Omega}/\text{im } \bar{\xi} \cong \ker \bar{\xi}^2/\text{im } \bar{\xi}, \quad (12)$$

so the first isomorphism is obvious. Equation (10) also implies that $\bar{\xi}^2$ and hence $\bar{\xi}$ is invertible on $\text{im } \bar{\xi}^2$, so $\text{im } \bar{\xi}^2$ is contractible as explained in Section 1.2. This means

the inclusion is a quasiisomorphism with respect to \bar{b} . Hence the second isomorphism follows from Proposition 2.1. \square

For later use, we record here another elementary consequence of Lemma 2.9:

Corollary 2.11. *We have $\text{im } \bar{\xi} \cap \ker \bar{\xi}^2 = \text{im } \bar{\xi} \cap \ker \bar{\xi}$.*

Proof. Given $y = \bar{\xi}(x) \in \ker \bar{\xi}^2$, write x as $x = v + w$ with $v \in \ker \bar{\xi}^2$ and $w \in \text{im } \bar{\xi}^2$. Then $\bar{\xi}^2(y) = 0$ means $\bar{\xi}^3(v) + \bar{\xi}^3(w) = 0$; so $\bar{\xi}^2(v) = 0$ yields $\bar{\xi}^3(w) = 0$. However, $\bar{\xi}$ is injective on $\text{im } \bar{\xi}^2$ as already remarked in the previous proof, so $w = 0$, hence $x = v$, so $\bar{\xi}(y) = \bar{\xi}^2(x) = \bar{\xi}^2(v) = 0$. \square

Remark 2.12. All the above computations are abstractions of those made by Cuntz and Quillen for the noncommutative differential forms over an associative algebra [6]. Informally speaking, the message of Lemma 2.10 can be stated as follows: the “best” mixed complexes are those where $\xi = 0$, as one can compute $H(\Omega, b, d)$ straight from Ω using a spectral sequence. The second best case is $\Omega = \ker \xi \oplus \text{im } \xi$; as mentioned after Definition 1.2 this means ξ vanishes in a strong homotopical sense. Lemma 2.10 tells us that in general ξ^2 vanishes in this homotopical sense, so ξ is homotopically infinitesimal.

2.5. The second exact sequence

We now will derive the second of the two short exact sequences in Theorem 1.6.

First, we need the following computation:

Lemma 2.13. *On $\ker \bar{\xi}^2$, we have $\bar{b}\bar{\xi} = \bar{d}\bar{\xi} = 0$, $\bar{B}_n := \bar{c}_n\bar{d}_n = \beta_n\bar{d}_n$ for all $n \geq 0$, where $\beta_n \in k$ is the constant coefficient of c_n , and we have*

$$\bar{\xi}_n = (1 - \frac{\beta_{n-1}}{\beta_n})\bar{d}_{n-1}\bar{b}_n = (1 - \frac{\beta_n}{\beta_{n-1}})\bar{b}_{n+1}\bar{d}_n.$$

Proof. Multiplying the second expression for $v = 0$ in (3) in Proposition 1.7 on the left by b_n and using $\bar{\xi}^2 = 0$ gives

$$\bar{b}_n\bar{\xi}\bar{c}_{n-1} = \beta_{n-1}\bar{b}_n\bar{\xi} = 0,$$

so $\bar{b}\bar{\xi} = 0$ as all β_n are invertible. Similarly, one obtains $\bar{d}\bar{\xi} = 0$. That $\bar{B}_n = \beta_n\bar{d}_n$ is an immediate consequence, and the formulas for $\bar{\xi}_n$ are obtained by direct computation:

$$\begin{aligned} \bar{\xi}_n &= \bar{d}_{n-1}\bar{b}_n + \bar{b}_{n+1}\bar{d}_n = \bar{d}_{n-1}\bar{b}_n + \beta_n^{-1}\bar{b}_{n+1}\bar{B}_n \\ &= \bar{d}_{n-1}\bar{b}_n - \beta_n^{-1}\bar{B}_{n-1}\bar{b}_n = (1 - \frac{\beta_{n-1}}{\beta_n})\bar{d}_{n-1}\bar{b}_n \end{aligned}$$

and similarly $\bar{\xi}_n = (1 - \frac{\beta_n}{\beta_{n-1}})\bar{b}_{n+1}\bar{d}_n$. \square

Additionally, we will utilise the following general statement (recall $\hat{\Omega} = \Omega/\text{im } \xi$):

Lemma 2.14. *If (Ω, b, d) is a mixed complex with $\xi^2 = v = 0$, then for all $n \geq 0$, there are short exact sequences*

$$0 \longrightarrow H_n(\Omega, b, B) \longrightarrow H_n(\hat{\Omega}, \hat{b}, \hat{B}) \longrightarrow \bigoplus_{i \geq 0} \text{im } \xi_{n-1-2i} \longrightarrow 0$$

Proof. The short exact sequence

$$0 \longrightarrow (\operatorname{im} \xi, b, B) \longrightarrow (\Omega, b, B) \longrightarrow (\hat{\Omega}, \hat{b}, \hat{B}) \longrightarrow 0$$

of mixed complexes induces short exact sequences of the total complexes

$$0 \longrightarrow \mathsf{T}(\operatorname{im} \xi) \longrightarrow \mathsf{T}(\Omega) \longrightarrow \mathsf{T}(\hat{\Omega}) \longrightarrow 0 \quad (13)$$

whose differential is $b + B$ (recall that $v = bB + Bb = 0$ so that $\mathsf{T}_n(\Omega) = \Omega_n \oplus \Omega_{n-2} \dots$ here). However, by Lemma 2.13, $b + B$ vanishes on $\operatorname{im} \xi$, so that $\mathsf{T}(\operatorname{im} \xi)$ is its own homology. Furthermore, the inclusion $\operatorname{im} \xi \rightarrow \Omega$ induces the trivial map on homology, as Lemma 2.13 implies

$$\begin{aligned} & (\xi_n x_n, \xi_{n-2} x_{n-2}, \dots) \\ &= (b + B) \left(\left(1 - \frac{\beta_n}{\beta_{n-1}}\right) d_n x_n, \left(1 - \frac{\beta_{n-2}}{\beta_{n-3}}\right) d_{n-2} x_{n-2}, \dots \right), \end{aligned}$$

so indeed, the homology class of an element in $\mathsf{T}(\operatorname{im} \xi)$ becomes trivial in $\mathsf{H}(\Omega, b, B)$. Therefore, the long exact homology sequence induced by (13) splits up into the short exact sequences stated in the lemma. \square

Proof of Theorem 1.6 (2). We apply Lemma 2.14 to $\ker \bar{\xi}^2 \subset \bar{\Omega}$. This yields short exact sequences

$$0 \longrightarrow \mathsf{H}_n(\ker \bar{\xi}^2, \bar{b}, \bar{B}) \longrightarrow \mathsf{H}_n(\ker \bar{\xi}^2 / \mathsf{l}, \bar{b}, \bar{B}) \longrightarrow \bigoplus_{i \geq 0} \mathsf{l}_{n-1-2i} \longrightarrow 0 \quad (14)$$

where we abbreviate

$$\mathsf{l} := \operatorname{im} \bar{\xi} \cap \ker \bar{\xi}^2 = \operatorname{im} \bar{\xi} \cap \ker \bar{\xi},$$

the second equality having been proved in Corollary 2.9. Note that, by abuse of notation, we did not introduce yet a new notation for the maps induced by \bar{b}, \bar{B} on the quotient by l .

In view of (12), we have a commutative diagram

$$\begin{array}{ccc} \ker \bar{\xi}^2 & \longrightarrow & \ker \bar{\xi}^2 / \mathsf{l} \cong \bar{\Omega} / \operatorname{im} \bar{\xi} \\ \downarrow & & \downarrow \cong \\ \bar{\Omega} = \Omega / \operatorname{im} v & \longrightarrow & \tilde{\Omega} = \Omega / (\operatorname{im} v + \operatorname{im} \xi), \end{array}$$

where the horizontal maps are the canonical projections, the left vertical map is the inclusion, and the right vertical map is an isomorphism induced by this inclusion.

By Lemma 2.10, the left vertical arrow induces an isomorphism

$$\mathsf{H}(\ker \bar{\xi}^2, \bar{b}, \bar{B}) \cong \mathsf{H}(\bar{\Omega}, \bar{b}, \bar{B}) = \mathsf{H}(\Omega, b, B).$$

Similarly, the right vertical isomorphism yields an isomorphism

$$\mathsf{H}(\ker \bar{\xi}^2 / \mathsf{l}, \bar{b}, \bar{B}) \cong \mathsf{H}(\tilde{\Omega}, \tilde{b}, \tilde{B}) = \mathsf{H}(\hat{\Omega}, \hat{b}, \hat{B}).$$

These isomorphisms are compatible with the horizontal quotient maps in the diagram. In other words, the injectivity of the embedding $\mathsf{H}(\ker \bar{\xi}^2, \bar{b}, \bar{B}) \rightarrow \mathsf{H}(\ker \bar{\xi}^2 / \mathsf{l}, \bar{b}, \bar{B})$

established in (14) transfers to injectivity of the map $H(\Omega, b, B) \rightarrow H(\hat{\Omega}, \hat{b}, \hat{B})$ induced by the quotient $\Omega \rightarrow \hat{\Omega}$.

Now, since the canonical map $H(\Omega, b, B) \rightarrow H(\hat{\Omega}, \hat{b}, \hat{B})$ is injective, the long exact homology sequence resulting from the short exact sequence

$$0 \rightarrow \text{im } \xi \rightarrow \Omega \rightarrow \hat{\Omega} \rightarrow 0$$

splits into the short exact sequences stated in the theorem. \square

Example 2.15. When considering the cyclic homology of a cyclic complex, we have $v = 0$, hence $\tilde{\xi} = \xi$ and we obtain

$$H_n(\text{im } \xi, b, B) = \bigoplus_{i \geq 0} I_{n-2i} = \bigoplus_{i \geq 0} \ker \xi_{n-2i} \cap \text{im } \xi_{n-2i}.$$

2.6. The first exact sequence

Recall that when (Ω, b, B) is a homological skyscraper, we have $H(\Omega, b, d) \cong H(\tilde{\Omega}, \tilde{b}, \tilde{d})$ by Lemma 2.7, hence without loss of generality, we work with $\tilde{\Omega}$ instead of Ω from now on.

The bulk of the remaining computations needed to prove Theorem 1.6 are performed in the following lemma:

Lemma 2.16. *The map $\varphi_n : T(\tilde{\Omega}) \rightarrow T(\tilde{\Omega})$ given by*

$$(x_n, x_{n-2}, \dots) \mapsto (u_n, u_{n-2}, \dots) := (x_n, \beta_{n-2}^{-1} x_{n-2}, \beta_{n-2}^{-1} \beta_{n-4}^{-1} x_{n-4}, \dots)$$

induces isomorphisms

$$\begin{aligned} H(\tilde{\Omega}/\text{im } \tilde{b}, 0, \tilde{d}) &\cong H(\tilde{\Omega}/\text{im } \tilde{b}, 0, \tilde{B}), & H(\text{im } \tilde{b}, 0, \tilde{d}) &\cong H(\text{im } \tilde{b}, 0, \tilde{B}), \\ \text{im}(H(\tilde{\Omega}, \tilde{b}, \tilde{d}) \rightarrow H(\tilde{\Omega}/\text{im } \tilde{b}, 0, \tilde{d})) &\cong \text{im}(H(\tilde{\Omega}, \tilde{b}, \tilde{B}) \rightarrow H(\tilde{\Omega}/\text{im } \tilde{b}, 0, \tilde{B})), & (15) \\ \ker(H(\tilde{\Omega}, \tilde{b}, \tilde{d}) \rightarrow H(\tilde{\Omega}/\text{im } \tilde{b}, 0, \tilde{d})) &\cong \ker(H(\tilde{\Omega}, \tilde{b}, \tilde{B}) \rightarrow H(\tilde{\Omega}/\text{im } \tilde{b}, 0, \tilde{B})). & (16) \end{aligned}$$

Proof. Explicitly, a class in $H_n(\tilde{\Omega}/\text{im } \tilde{b}, 0, \tilde{d})$ is represented by an element denoted $x = (x_n, x_{n-2}, \dots) \in T_n(\tilde{\Omega})$, such that there exists $y \in T_n(\tilde{\Omega})$ with

$$\tilde{b}x_n + \tilde{d}x_{n-2} = \tilde{b}y_n, \quad \tilde{b}x_{n-2} + \tilde{d}x_{n-4} = \tilde{b}y_{n-2}, \dots$$

The element x represents the trivial homology class in $H_n(\tilde{\Omega}/\text{im } \tilde{b}, 0, \tilde{d})$ if and only if there are elements $z = (z_{n+1}, z_{n-1}, \dots), t \in T_{n+1}(\tilde{\Omega})$ such that

$$\tilde{b}z_{n+1} + \tilde{d}z_{n-1} = x_n + \tilde{b}t_{n+1}, \quad \tilde{b}z_{n-1} + \tilde{d}z_{n-3} = x_{n-2} + \tilde{b}t_{n-1}, \dots \quad (17)$$

Recall that $\tilde{\xi} = 0$ means that $\tilde{B}_n = \beta_n \tilde{d}_n$ where $\beta_n \in k$ is the constant coefficient of c_n . Hence $u = \varphi_n(x) \in T_n(\tilde{\Omega})$ satisfies

$$\tilde{b}u_n + \tilde{B}u_{n-2} = \tilde{b}v_n, \quad \tilde{b}u_{n-2} + \tilde{B}u_{n-4} = \tilde{b}v_{n-2}, \dots$$

where $v = \varphi_n(y)$.

Furthermore, (17) implies

$$\tilde{b}w_{n+1} + \tilde{B}w_{n-1} = u_n + \tilde{b}s_{n+1}, \dots$$

with $w = \varphi_{n+1}(z)$, $s = \varphi_{n+1}(t)$. This shows that φ_n induces a well-defined map on homology, which is clearly bijective. Also, the image of $H_n(\tilde{\Omega}, \tilde{b}, \tilde{d})$ in $H_n(\tilde{\Omega}/\text{im } \tilde{b}, 0, \tilde{d})$

consists of those classes that can be represented as above with $y = 0$, and then $v = 0$ means that the image in $H_n(\tilde{\Omega}/\text{im } \tilde{b}, 0, \tilde{B})$ is also in the image of $H_n(\tilde{\Omega}, \tilde{b}, \tilde{d})$. The other isomorphisms follow in an exactly analogous way. \square

Remark 2.17. For most of the isomorphisms required in Lemma 2.16, there is little restriction on the particular isomorphism we use; we could, for example, take the identity instead of φ . However, this causes (15) to fail.

Proof of Theorem 1.6 (1). The short exact sequences of chain complexes

$$0 \rightarrow T(\text{im } \tilde{b}) \rightarrow T(\tilde{\Omega}) \rightarrow T(\tilde{\Omega}/\text{im } \tilde{b}) \rightarrow 0$$

with respect to $\tilde{b} + \tilde{d}$ and $\tilde{b} + \tilde{B}$ yield long exact sequences

$$\dots \rightarrow H_{n+1}(\tilde{\Omega}/\text{im } \tilde{b}, 0, \tilde{d}) \xrightarrow{\partial_{n+1}^d} H_n(\text{im } \tilde{b}, 0, \tilde{d}) \rightarrow H_n(\tilde{\Omega}, \tilde{b}, \tilde{d}) \rightarrow \dots$$

and

$$\dots \rightarrow H_{n+1}(\tilde{\Omega}/\text{im } \tilde{b}, 0, \tilde{B}) \xrightarrow{\partial_{n+1}^B} H_n(\text{im } \tilde{b}, 0, \tilde{B}) \rightarrow H_n(\tilde{\Omega}, \tilde{b}, \tilde{B}) \rightarrow \dots$$

These split into short exact sequences

$$0 \rightarrow H_n(\text{im } \tilde{b}, 0, \tilde{d})/\text{im } \partial_{n+1}^d \rightarrow H_n(\tilde{\Omega}, \tilde{b}, \tilde{d}) \rightarrow \ker \partial_n^d \rightarrow 0$$

and

$$0 \rightarrow H_n(\text{im } \tilde{b}, 0, \tilde{B})/\text{im } \partial_{n+1}^B \rightarrow H_n(\tilde{\Omega}, \tilde{b}, \tilde{B}) \rightarrow \ker \partial_n^B \rightarrow 0.$$

If ι_n denotes the map $H_n(\text{im } \tilde{b}, 0, \tilde{B}) \rightarrow H_n(\tilde{\Omega}, \tilde{b}, \tilde{B})$ induced by the inclusion and π_n denotes the map $H_n(\tilde{\Omega}, \tilde{b}, \tilde{B}) \rightarrow H_n(\tilde{\Omega}/\text{im } \tilde{b}, 0, \tilde{B})$, then by exactness we have

$$H_n(\text{im } \tilde{b}, 0, \tilde{B})/\text{im } \partial_{n+1}^B = H_n(\text{im } \tilde{b}, 0, \tilde{B})/\ker \iota_n \cong \text{im } \iota_n = \ker \pi_n$$

and

$$\ker \partial_n^B = \text{im } \pi_n \cong H_n(\tilde{\Omega}, \tilde{b}, \tilde{B})/\ker \pi_n.$$

The theorem now follows in view of the isomorphisms (of k -modules)

$$H_n(\text{im } \tilde{b}, 0, \tilde{d})/\text{im } \partial_{n+1}^d \cong H_n(\text{im } \tilde{b}, 0, \tilde{B})/\text{im } \partial_{n+1}^B, \quad \ker \partial_n^d \cong \ker \partial_n^B$$

established in Lemma 2.16. Note that in the introduction we suppressed introducing $\tilde{\Omega}$, but by definition, we have $H(\tilde{\Omega}, \tilde{b}, \tilde{B}) = H(\tilde{\Omega}, \tilde{b}, \tilde{B})$. \square

Example 2.18. If A is an exterior algebra in generators x, y of degree 1 over a field k of characteristic $\neq 2$, so that

$$x^2 = xy + yx = y^2 = 0,$$

then the noncommutative differential forms Ω over A are not a homological skyscraper. Indeed, the class of

$$1 \otimes_k xy = \frac{1}{2} \xi(x \otimes_k y - y \otimes_k x)$$

in $H_1(\text{im } \xi, b)$ is nontrivial - a straightforward computation shows that $\text{im } b\xi$ has no component in $A_0 \otimes_k A_2$, where A_d is the degree d -component of A . As ξ vanishes in degree 0, $1 \otimes_k xy$ also defines a nontrivial class in $H_1(\text{im } \xi, b, d) = H_1(\text{im } \xi, b, B)$.

Example 2.19. For a nonstandard example of Theorem 1.6, let k be any commutative ring, $q \in k$, and R be the unital associative k -algebra generated by x, y satisfying

$$x^2 = y^2 = xy + qyx = 0,$$

so that R is a free k -module with basis $\{1, x, y, yx\}$.

We obtain a mixed complex of R -modules with

$$\Omega_n := \begin{cases} R/Ryx & n = 0, \\ R & n > 0 \end{cases}$$

and b_n given by right multiplication by x and d_n given by right multiplication by y . With $c_n = q^n$, that is, B_n given by right multiplication by $q^n y$, we obtain for $n > 0$ and $r \in R = \Omega_n$

$$\begin{aligned} (b_{n-1}B_n + B_{n-1}b_n)r &= r(q^n yx + q^{n-1}xy) = 0, \\ (b_{n-1}d_n + d_{n-1}b_n)r &= r(yx + xy) = (1 - q)ryx, \end{aligned}$$

and for $n = 0$

$$(b_1B_0)(r + Ryx) = (b_1d_0)(r + Ryx) = ryx + Ryx = 0.$$

In particular,

$$H_1(\text{im } \xi, b, B) = \text{im } \xi_1 \cong k/I,$$

where $I \triangleleft k$ is the annihilator of $1 - q$ in k .

We furthermore see

$$\hat{\Omega}_n = \tilde{\Omega}_n = \begin{cases} R/Ryx & n = 0, \\ R/R(1 - q)yx & n > 0, \end{cases}$$

and direct computation yields

$$H_2(\Omega, b, B) \cong k$$

with basis given by the class of $(0, y + Ryx)$, while in $H_2(\hat{\Omega}, \hat{b}, \hat{B})$ and $H_2(\Omega, b, d)$ there is an additional generator represented by $((1 - q)y, 0)$,

$$H_2(\hat{\Omega}, \hat{b}, \hat{B}) \cong H_2(\Omega, b, d) \cong k \oplus k/I \cong H_2(\Omega, b, B) \oplus H_1(\text{im } \xi, b, B).$$

Note also that $\ker \pi_2 = 0$ here, so the first isomorphism above is canonical in this example.

Example 2.20. Our final example demonstrates that $\ker \pi$ can be nontrivial. To see this, consider the mixed complex

$$\Omega_n := \begin{cases} \mathbb{C} & n = 0, 1, 2, \\ 0 & n > 2, \end{cases}$$

with (co)boundary maps

$$b_n := \begin{cases} \text{id} & n = 1, \\ 0 & n \neq 1, \end{cases} \quad d_n := \begin{cases} \text{id} & n = 1, \\ 0 & n \neq 1. \end{cases}$$

Taking $c_n := 1$ for all n , we obtain

$$T_n(\tilde{\Omega}) = \begin{cases} \mathbb{C} & n = 0 \text{ or } n = 2k + 1, \\ \mathbb{C} \oplus \mathbb{C} & n = 2k + 2. \end{cases}$$

Here, $(0, 1) = \tilde{b}(1) \in \ker \tilde{B} \cap \operatorname{im} \tilde{b} \subset T_2(\tilde{\Omega})$ generates $\ker \pi_2 \cong \mathbb{C}$, and we have that $\operatorname{im} \tilde{b} \cap \operatorname{im} (\tilde{b} + \tilde{B}) = 0$.

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